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Paper No. 6

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MAR - 5 2002

OFFICE OF PETITIONS

In re Application of
Tsao and Valliant
Application No. 09/924,736
Filed: 7 August, 2001
Attorney Docket No. M-9720 US

:
:
: DECISION ACCORDING STATUS
: UNDER 37 CFR 1.47(a)
:

This is in response to the petition under 37 CFR 1.47(a)¹ filed
on 5 December, 2001.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor, John
Valliant, has refused to join in the filing of the above-
identified application after having been sent a copy of the
application papers. Specifically, the statement of petitioners'
registered patent attorney, Norman R. Klivans, establishes that a
copy of the application was sent to the non-signing inventor
twice. The inventor, however, has failed to sign and return the
declaration naming him as a joint inventor along with Chun Cheng

Tsao.
ATTORNEY: NORMAN R. KLIVANS

¹A grantable petition under 37 CFR 1.47(a) requires:

- (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings);
- (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116;
- (3) the petition fee; and,
- (4) a statement of the last known address of the non-signing inventor.

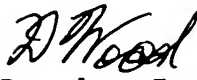
The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the declaration. Notice of the filing of this application will also be published in the Official Gazette.

It is noted that the declaration filed with the original application papers on 7 August, 2001, is defective in that it contains non initialed and/or non-dated alterations.² A new oath or declaration in compliance with 37 CFR 1.63 and 1.67 must be submitted when required by the examiner.

The application is being forwarded to Technology Center 3700 for examination in due course.

Telephone inquiries related to this decision should be directed to the undersigned at 703-308-6918.


Douglas I. Wood
Petitions Attorney
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy

AA

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²See 37 CFR 1.52(c).

I hereby appoint the following practitioners to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

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Please address all correspondence and telephone calls to:

Norman R. Klivans
SKJERVEN MORRILL MacPHERSON LLP
 25 Metro Drive, Suite 700
 San Jose, California 95110-1349

Telephone: 408-453-9200
 Facsimile: 408-453-7979

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Full name of sole (or first joint) inventor: ^{c.c.T.} Chun Cheng Tsao
 Inventor's Signature: Chung Chen Tsao Date: 7-17-2001
 Residence: Cupertino, California 95014
 Post Office Address: 1327 Poppy Way Citizenship: US
Cupertino, CA 95014

Full name of sole (or second joint) inventor: John Valliant
 Inventor's Signature: _____ Date: _____
 Residence: Santa Cruz, California 95062
 Post Office Address: 4445 Portola Drive Citizenship: US
Santa Cruz, CA 95062



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John Valliant
4445 Portola Drive
Santa Cruz, CA 95062

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OFFICE OF PETITIONS

In re Application of
Tsao et al.

Application No. 09/924,736

Filed: 7 August, 2001

For: METHOD FOR GLOBAL DIE THINNING AND POLISHING OF FLIP-CHIP PACKAGED INTEGRATED CIRCUITS

Dear Mr. Valliant:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Douglas I. Wood at 703/308-6918. Requests for information regarding your application should be directed to the File Information Unit at 703/308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at 703/308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

for D Wood

Beverly M. Flanagan
Supervisory Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy

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